

AUDIT COMMITTEE

NOTICE AND AGENDA

For a meeting to be held at Three Rivers House, Northway, Rickmansworth, WD3 1RL on Thursday 29 September 2022 at 7.30pm.

Members of the Audit Committee:-

Councillors:

Tony Humphreys (Chair)

Ruth Clark

Joan King

Khalid Hussain

Steve Drury (Vice-Chair)

Shanti Maru

Chris Mitchell

Raj Khuroya

*Joanne Wagstaffe, Chief Executive
21 September 2022*

The Council welcomes contributions from Members of the public to its discussion on agenda items at Committee meetings. Contributions will be limited to one person speaking for and one against each item for not more than three minutes.

Please note that in the event of registering your interest to speak on an agenda item but not taking up that right because the item is deferred, you will automatically be given the right to speak on the item at that next meeting of the Committee. Details of the procedure are provided below:

Members of the public wishing to speak will be entitled to register and identify which report they wish to speak on from the published agenda for the meeting. Those who wish to register to speak can arrive from 7pm on the night of the meeting and up to shortly before the meeting.

Anyone wishing to observe the meeting can arrive from 7pm on the night of the meeting of the meeting.

In accordance with The Openness of Local Government Bodies Regulations 2014 any matters considered under Part 1 business only of the meeting may be filmed, recorded, photographed, broadcast or reported via social media by any person.

Recording and reporting the Council's meetings is subject to the law and it is the responsibility of those doing the recording and reporting to ensure compliance. This will include the Human Rights Act, the Data Protection Act and the laws of libel and defamation.

- 1. APOLOGIES FOR ABSENCE**
To receive any apologies for absence.
- 2. MINUTES**

To confirm as a correct record the minutes of the Audit Committee Meeting held on Tuesday 26 July 2022.

3. NOTICE OF OTHER BUSINESS

Items of other business notified under Council Procedure Rule 30 to be announced, together with special circumstances that justify their consideration as a matter of urgency. The Chair to rule on the admission of such items

4. DECLARATIONS OF INTEREST

To receive any declarations of interest.

5. RISK MANAGEMENT ARRANGEMENTS

This report summarises the Council's risk management arrangements and includes revised Risk Registers for each service

(Pages 5
- 34)

6. EXTERNAL AUDITOR AUDIT PLAN 2020/21

(Pages
35 - 78)

7. THREE RIVERS DISTRICT COUNCIL AUDIT COMMITTEE PROGRESS REPORT

(Pages
79 - 126)

Members are asked to:

- Note the Internal Audit Progress report for the period to 16 September 2022
- Approve amendments to the Audit Plan as at 16 September 2022
- Agree changes to the implementation dates for 4 audit recommendations (Paragraph 2.5) for the reasons set out in Appendices 3 to 6
- Agree removal of implemented audit recommendations (Appendices 3 to 6)

8. SIAS BOARD ANNUAL REPORT

To receive the Annual Report from the SIAS board.

(Pages
127 -
140)

9. STATEMENT OF ACCOUNTS UPDATE

Note the latest timetable for completion of the external audit of the statement of accounts for 2019/20, 2020/21 and 2021/22.

10. FINANCIAL AND BUDGETARY RISKS

This report advises the Committee on the latest position in respect of the evaluation of financial risks facing the Council for discussion and any recommendations or comments they wish to make.

(Pages
141 -
142)

11. COMMITTEE WORK PROGRAMME

To receive the Committee's work programme.

(Pages
143 -
146)

12. ANY OTHER BUSINESS

13. EXCLUSION OF THE PRESS AND PUBLIC

If any confidential business is approved under item 3, it will also be necessary to specify the class of exempt or confidential information in the additional item(s) and a resolution to be passed in the following terms

"that under Section 100A (4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item(s) of business on the grounds that they

involve the likely disclosure of exempt information as defined under the respective paragraphs 1 to 7 of Part 1 of Schedule 12A to the Act”.

1. OTHER BUSINESS – if approved under item 3 above

General Enquiries: Please contact the Committee Team at
committeeteam@threeivers.gov.uk